

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 11, 2004.

Christine Sherwood

Applicant

: Jan O. Solem, et al.

Application No.

: 09/775,677

Filed

: February 5, 2001

Title

: METHOD AND DEVICE FOR TREATMENT OF MITRAL

INSUFFICIENCY

Grp./Div.

: 3738

Examiner

: Urmi Chattopadhyay

Docket No.

: ECV 597CIP/49988/MEG/E303

INFORMATION DISCLOSURE STATEMENT WITH FEE UNDER 37 CFR §§ 1.97(c) AND 1.17(p) (No. 1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 PostOffice Box 7068 Pasadena, CA 91109-7068 March 11, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

Application No. 09/775,677

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Mark Garscia

Reg. No. 31,953

626/795-9900

MEG/cks

Enclosures: Check \$180

-Copy-of-IDS-

Form PTO/SB/08A/B, w/43 references CKS PAS554290.1-*-03/10/04 1:37 PM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B

MAR 2 3 2004

INFORMATION DISCOSUR

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	ECV 597CIP/49988/MEG/E303		
Application Number	09/775,677		
Filing Date	February 5, 2001		
Applicant(s)	Jan O. Solem, et al.		
Group Art Unit	3738		
Examiner Name	Urmi Chattopadhyay		

U.S. PATENT DOCUMENTS					
EXAMINER Cite DOCUMENT NUMBER INITIALS No. 1 Number - Kind Code ² (If Known)		PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE		
		US 2001/0044568 A1	11-22-2001	Langberg, et al.	
		US 2002/0016628 A1	02-07-2002	Langberg et al.	
		US 2002/0022880 A1	02-21-2002	Melvin	
		US 2002/0087173 A1	07-04-2002	Alferness et al.	
-		US 2002/0103532 A1	08-01-2002	Langberg et al.	
	·	US 2002/0103533 A1	08-01-2002	Langberg et al.	
		US 2002/0111533 A1	08-15-2002	Melvin	
		US 2002/0151961 A1	10-17-2002	Lashinski et al.	
	-	4,164,046	08-14-1979	Cooley	
		5,006,106	04-09-1991	Angelchik	
		5,476,471	12-19-1995	Shifrin et al.	
		5,584,879	12-17-1996	Reimold et al.	
		5,674,280	10-07-1997	Davidson et al.	
		5,741,274	04-21-1998	Lenker et al.	
		5,876,419	03-02-1999	Carpenter, et al.	
		5,919,233	07-06-1999	Knopf et al.	
		6,183,411 B1	02-06-2001	Mortier et al.	
		6,210,432 B1	04-03-2001	Solem et al. Melvin Solem MAR 2 5 2004	
		6,221,103 B1	04-24-2001	Melvin MAP 9 -	
	·	6,248,119 B1	06-19-2001	Solem 2 5 2004	
		6,250,308 B1	06-26-2001	Cox TEGHNOLOGY CENTER R3700	
		6,264,602 B1	07-24-2001	Mortier et al.	
		6,264,691 B1	07-24-2001	Gabbay	
		6,343,605 B1	02-05-2002	Lafontaine	

EXAMINER	DATE
SIGNATURE	CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCOSURE
TRADEMAN

STATEMENT BY APPLICANT

Attorney Docket Number	ECV 597CIP/49988/MEG/E303		
Application Number	09/775,677		
Filing Date	February 5, 2001		
Applicant(s)	Jan O. Solem, et al.		
Group Art Unit	3738		
Examiner Name	Urmi Chattopadhyay		

(use as many sheets as necessary)

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,402,679 B1	06-11-2002	Mortier et al.
-		6,402,680 B2	06-11-2002	Mortier et al.
		6,402,781 B1	06-11-2002	Langberg et al.
	-	6,409,760 B1	06-25-2002	Melvin

		FOREIGN	PATENT DOCUMEN	TS	
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		WO 95/16407	06-22-1995	Reimold et al.	
		WO 98/18411	05-07-1998	Mahmoodi	
		WO 99/44534	09-10-1999	Melvin	
		WO 99/53977	10-28-1999	Talpade	
		WO 00/18320	04-06-2000	Melvin	
		WO 00/44313	08-03-2000	Lambrecht et al.	
		WO 01/50985	07-19-2001	Liddicoat et al.	
		WO 02/00099	01-03-2002	Liddicoat et al.	
		WO 02/01999	01-10-2002	Liddicoat et al.	
		WO 02/05888	01-24-2002	Streeter et al.	
		WO 02/34118	05-02-2002	Streeter et al.	
		WO 02/053206	07-11-2002	Alferness	
		WO 02/060352	08-08-2002	Lashinski et al.	
		WO 02/062270	08-15-2002	Solem et al.	
		WO 02/062408	08-15-2002	Taylor et al.	

CKS PAS554554.1-*-03/10/04 1:33 PM

EXAMINER SIGNATURE		DATE CONSIDERED		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE